

Nano Korea 2020
[MF] Materials & Fabrication

**Effects of growth condition on the
anisotropic growth and stacking
behavior of GaAs polar nanowires:
ab initio thermodynamics**

Jul. 2. 2020 (Thu.) 15:30 ~ 15:45

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1

Unidirectional growth of GaAs NW

- 1) Anisotropic VS-growth
- 2) Theoretical approach to why $\langle 111 \rangle_B$?
- 3) Ab initio thermodynamics to surface
- 4) Adsorption on surface reconstruction
- 5) Adsorption vs. Desorption
- 6) Preferential adsorption and nucleation

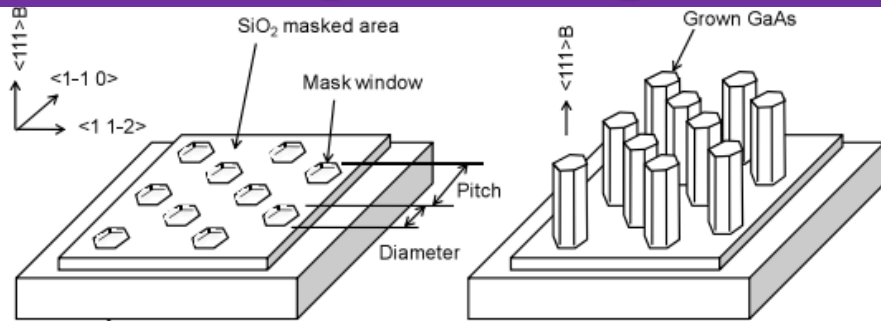
2

Asymmetric stacking of GaAs NW

- 1) Asymmetric stacking: ANW vs. BNW
- 2) Energetics of fully formed NW?
- 3) Nucleation-I: with ZB or SF stacking
- 4) (111)A & B reconstruction with SF
- 5) Nucleation-I: ZB vs. SF
- 6) Asymmetric stacking in nucleation-I

1. Unidirectional growth of Nanowire

Anisotropic VS-growth of GaAs NW



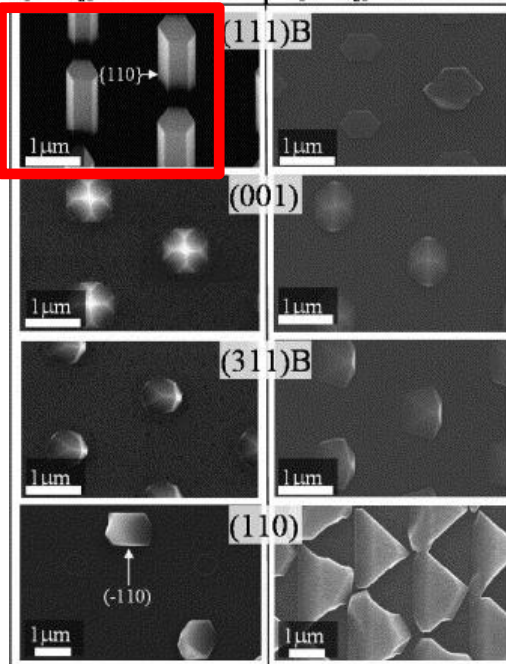
"Catalyst-free VS growth":

Among the various crystal directions, GaAs NW tends to grow along <111>B at narrow (T,P) range"

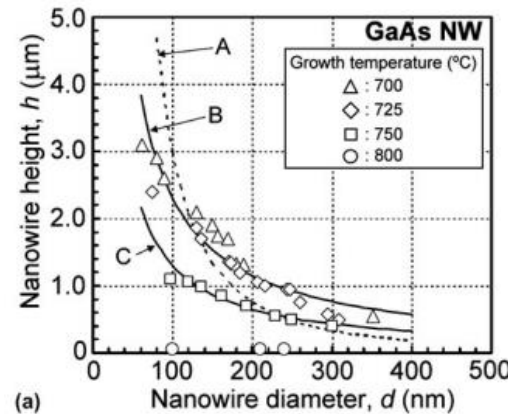
SA-MOVPE

Temperature : 750°C
[TMG] : 2.7×10^{-6} atm
[AsH₃] : 5.0×10^{-4} atm

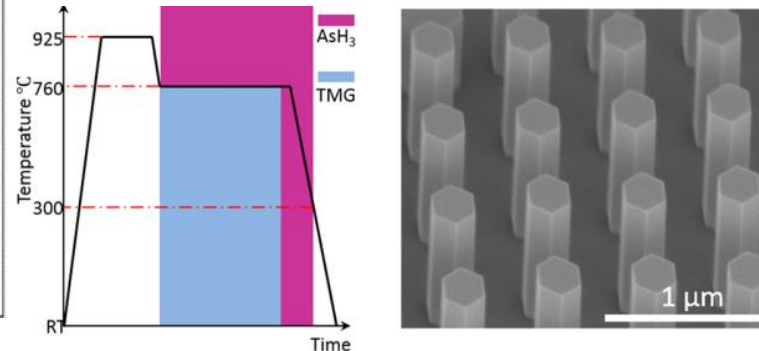
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J. Cryst. Growth 298, 616 (2007)

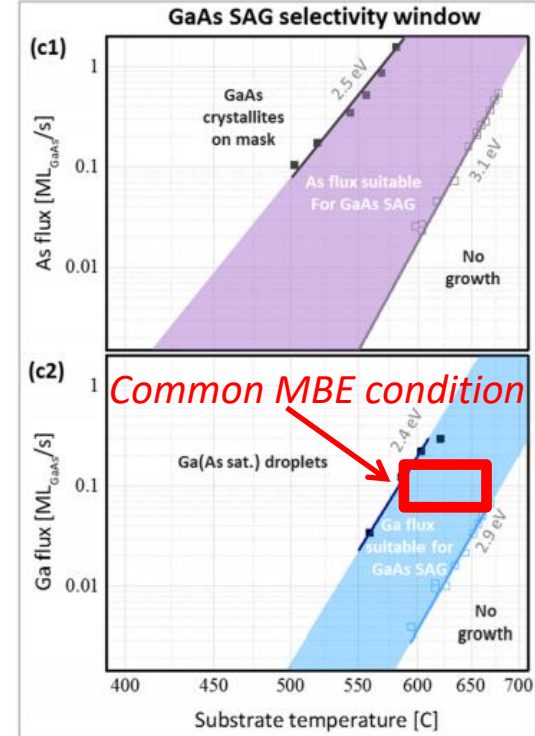


(a) J. Mater. Res. 26, 2127 (2011)
Nanotechnology 19, 265604 (2008)



ACS Nano 10, 2424 (2016)

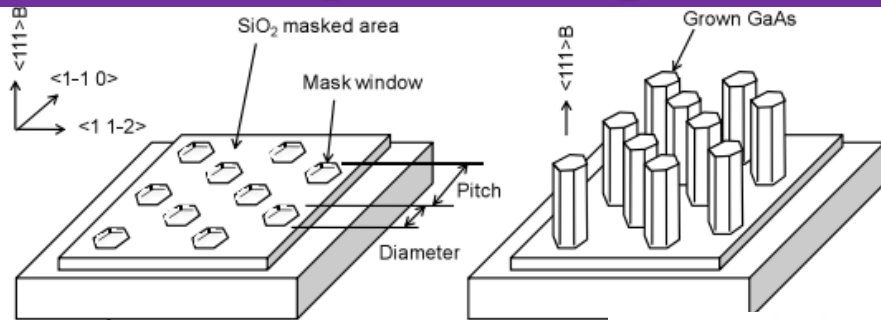
SA-MBE



Nano Lett. 19, 218 (2019)

1. Unidirectional growth of Nanowire

Anisotropic VS-growth of GaAs NW

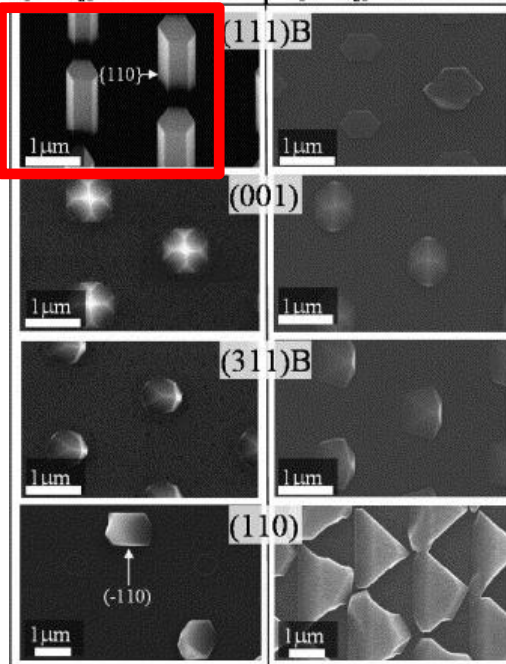
**"Catalyst-free VS growth":**

Among the various crystal directions, GaAs NW tends to grow along $\langle 111 \rangle_B$ at narrow (T,P) range"

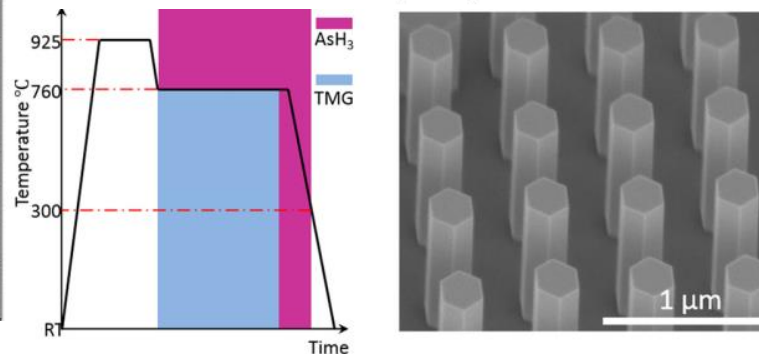
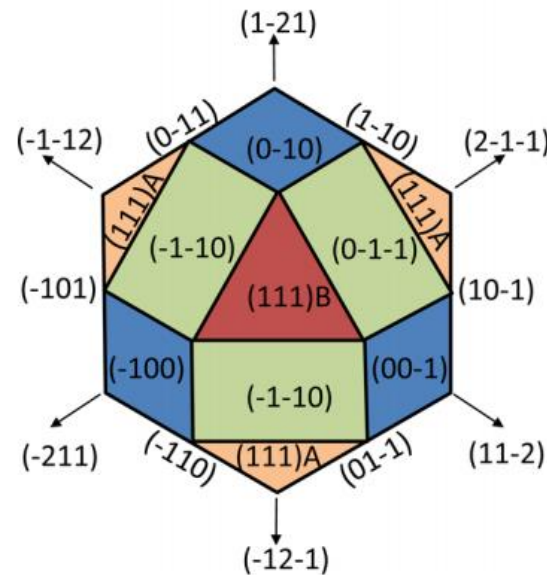
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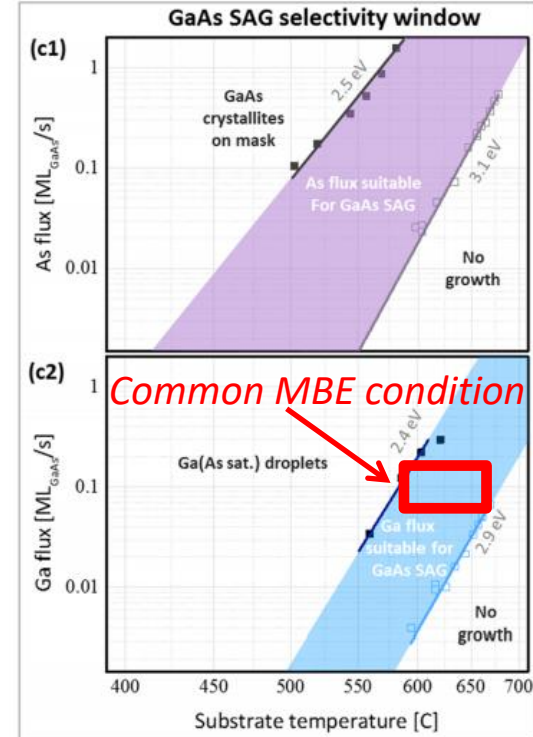
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J. Cryst. Growth 298, 616 (2007)

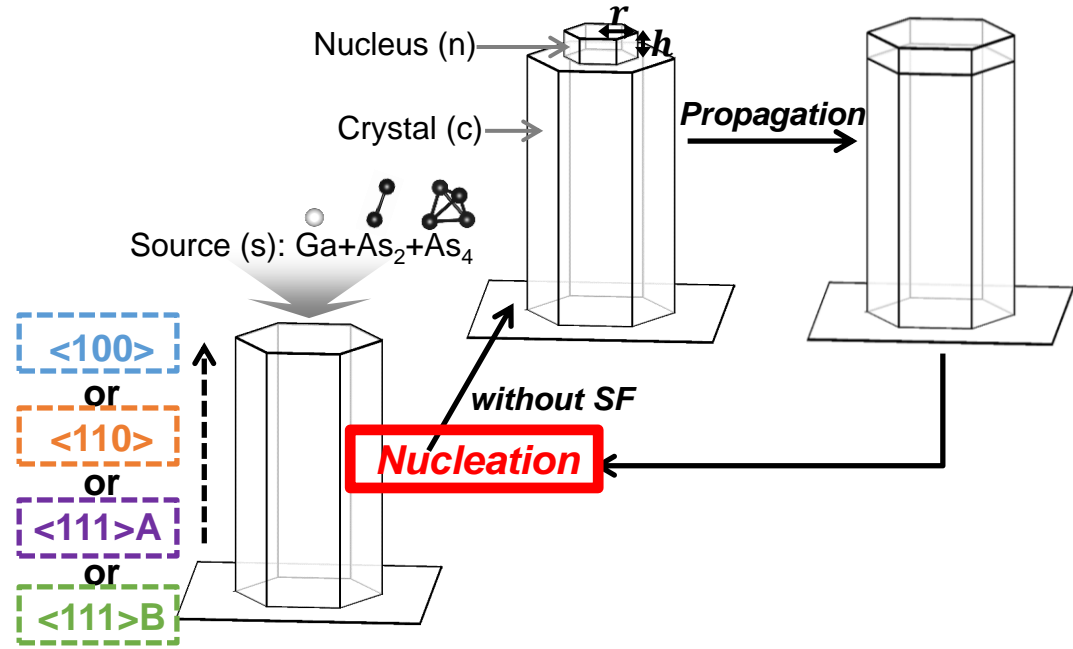
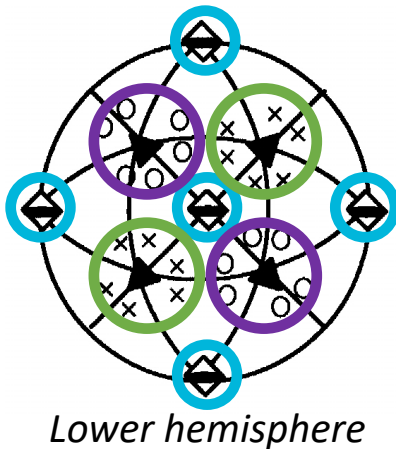
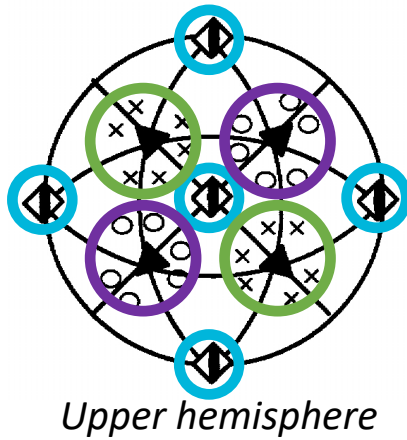
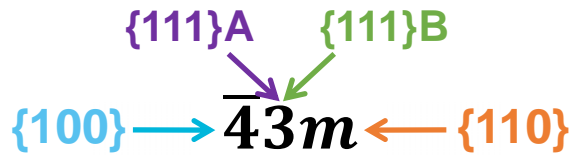


ACS Nano 10, 2424 (2016)

SA-MBE

Nano Lett. 19, 218 (2019)

1. Unidirectional growth of Nanowire

Theoretical approach to why $\langle 111 \rangle B$?

Surface orientation dependent nucleation rate:

$$\dot{N}_{n|Surf}(T, P) = \overset{\textcircled{1}}{\dot{C}(Surf, T, P)} \cdot \exp\left(-\frac{\overset{\textcircled{2}}{\Delta G_{sn}^*(Surf, T, P)}}{kT}\right)$$

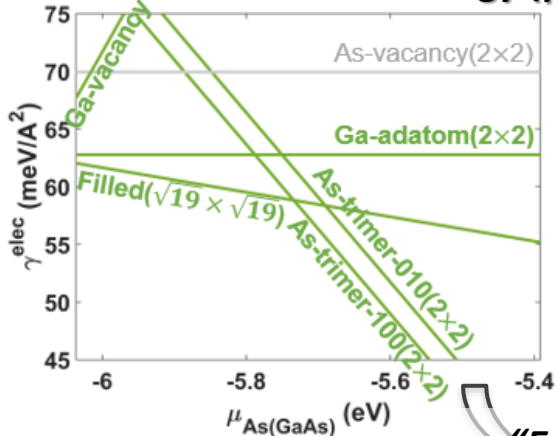
“Rate of source supply”
 onto each surface

“Nucleation barrier”
 on each surface

1. Unidirectional growth of Nanowire

Ab initio thermodynamics to surface

Conventional surface energy (μ)

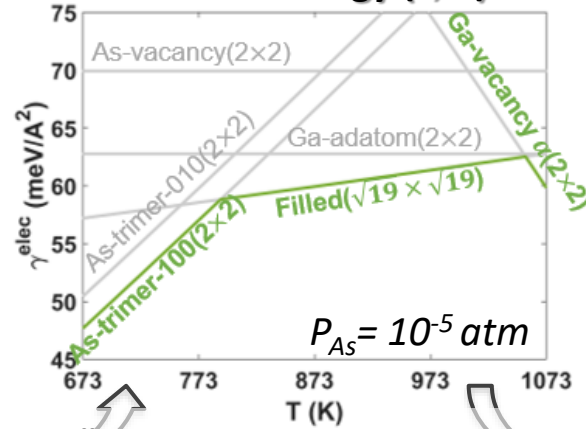


“Equilibrium”

$$\mu_{As(Gas)} = \mu_{As(GaAs)}$$

Yeu et al., *Sci. Rep.* 7, 10691 (2017)

Surface energy (T, P)

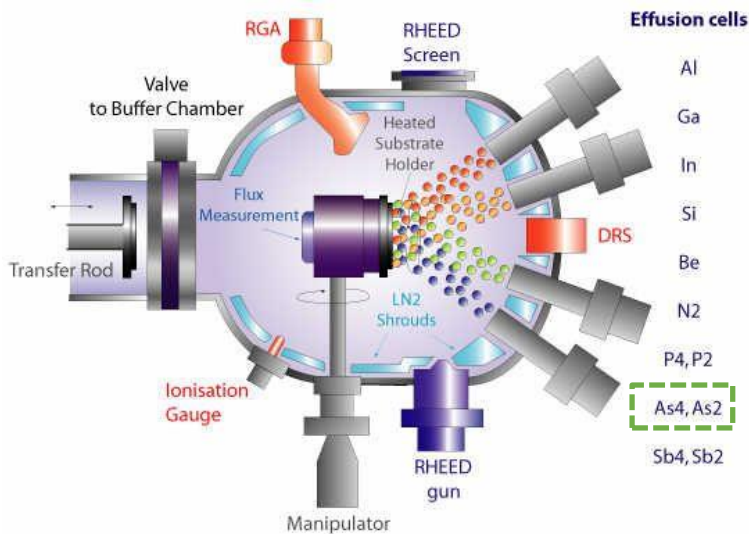
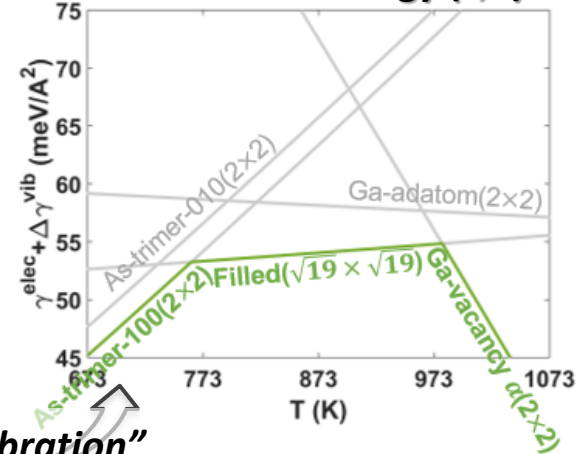


“Surface vibration”

$$\gamma = \gamma^{elec} + \Delta\gamma^{vib}$$

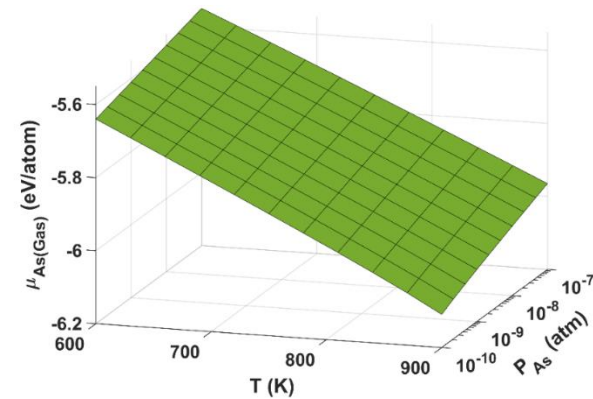
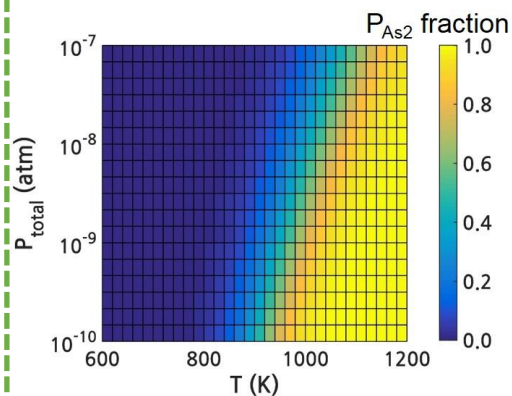
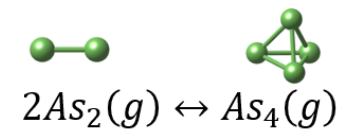
Yeu et al., *Sci. Rep.* 9, 1127 (2019)

Total surface energy (T,P)



Vapor environment of GaAs

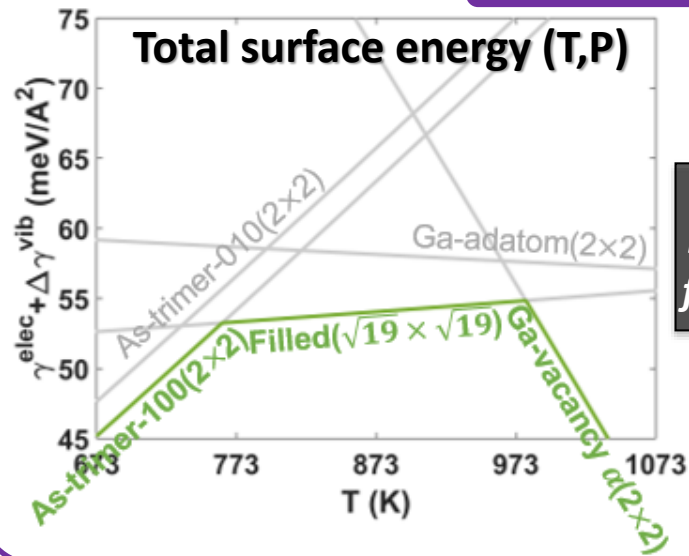
$$\mu_{As(Gas)} = \frac{1}{2} \mu_{As_2(Gas)} = \frac{1}{4} \mu_{As_4(Gas)}$$



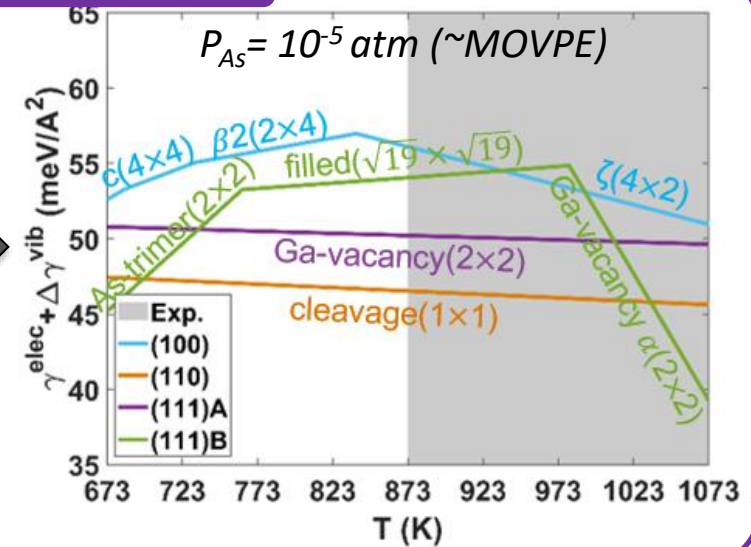
1. Unidirectional growth of Nanowire

Adsorption on surface reconstruction

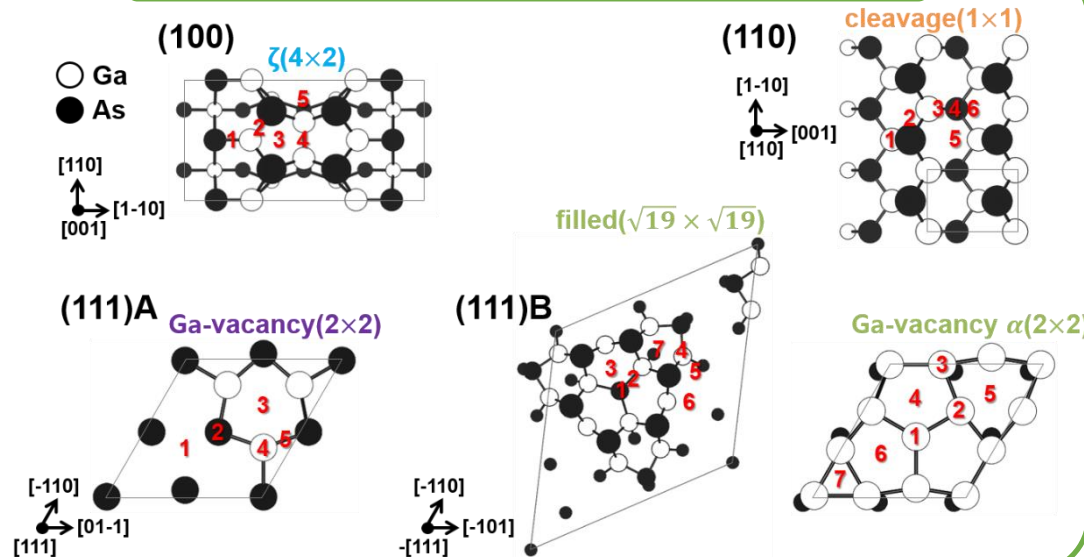
Stable surface structures



Most stable reconstructions for each surface



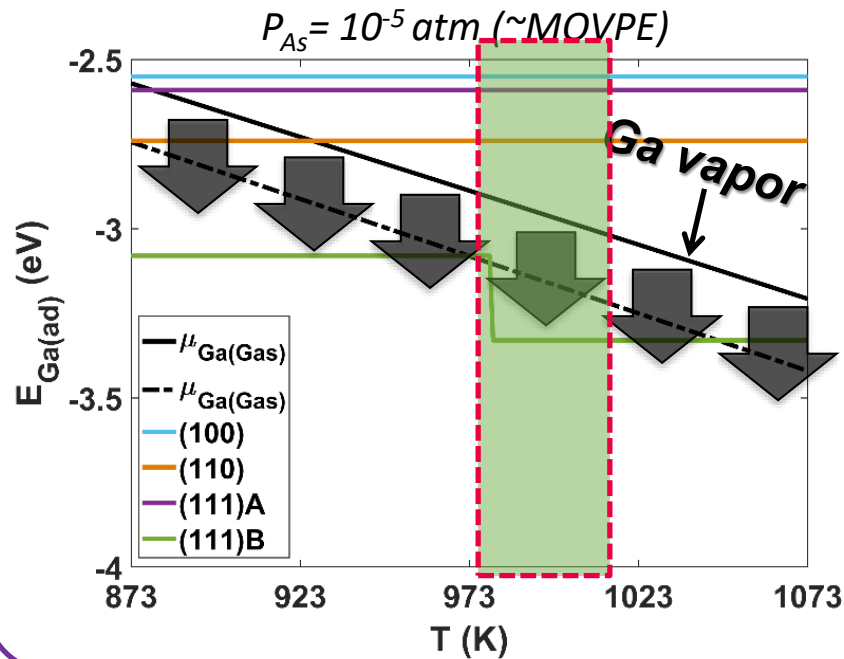
Possible adsorption sites



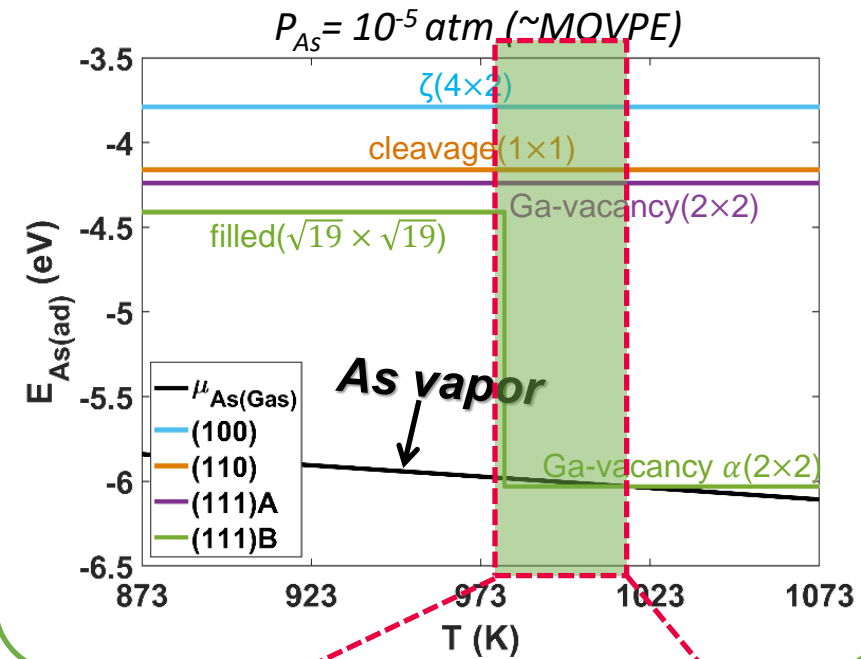
1. Unidirectional growth of Nanowire

Adsorption vs. Desorption

Ga adsorption

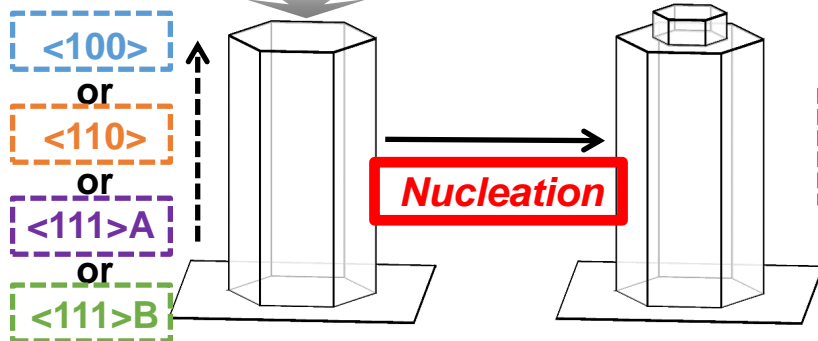


As adsorption



Source (s): Ga+As₂+As₄

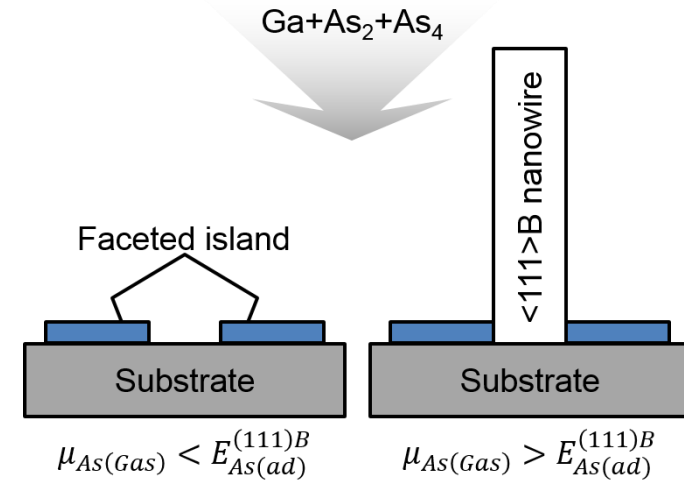
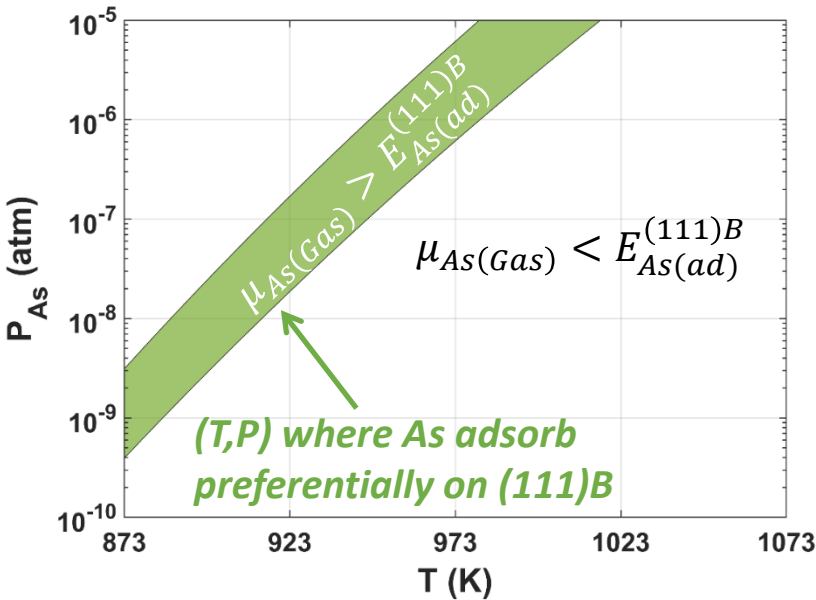
$$\dot{N}_{n|Surf}(T, P) = \dot{C}(Surf, T, P) \cdot \exp\left(-\frac{\Delta G_{sn}^*(Surf, T, P)}{kT}\right)$$



$$\dot{N}_{n|Surf}: (111)B \gg (111)A \cong (110) > (100)$$

1. Unidirectional growth of Nanowire

(T,P) window of the preferential adsorption

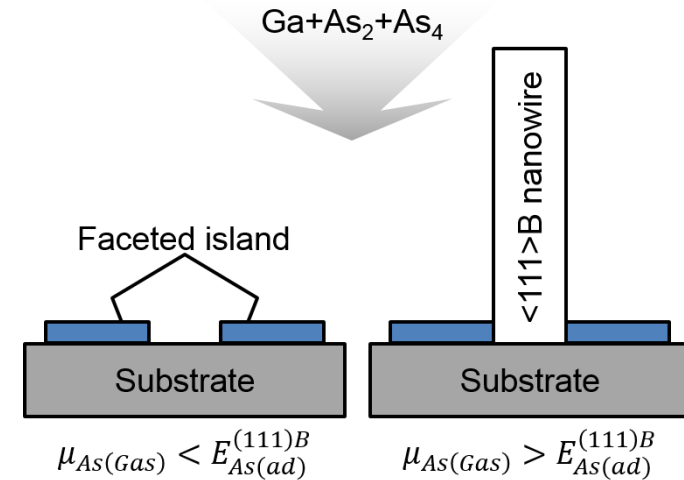
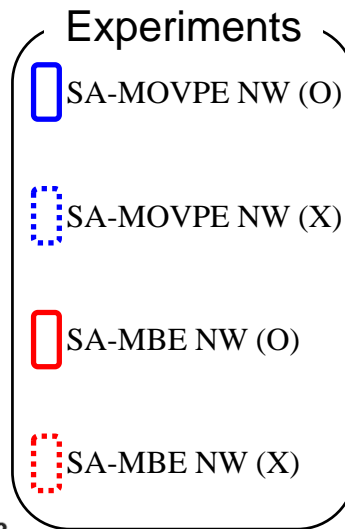
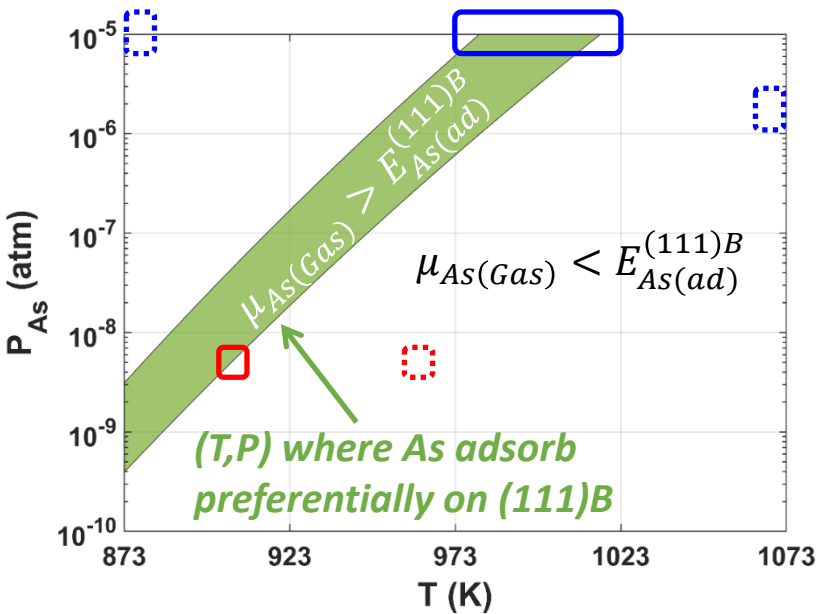


$$\dot{N}_{n|surf}(T, P) = \dot{C}(Surf, T, P) \cdot \exp\left(-\frac{\Delta G_{sn}^*(Surf, T, P)}{kT}\right)$$

“Preferential adsorption \rightarrow nucleation \rightarrow BNW growth”

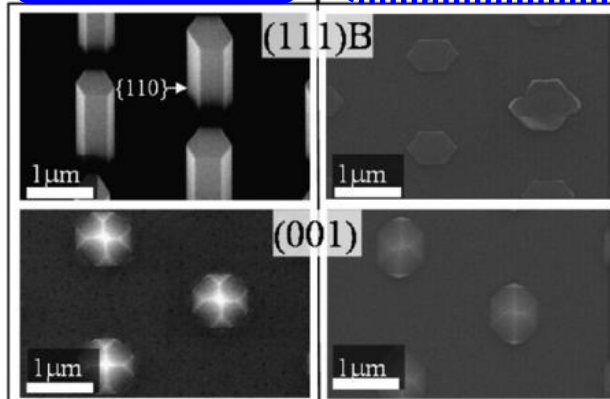
1. Unidirectional growth of Nanowire

(T,P) window of the preferential adsorption

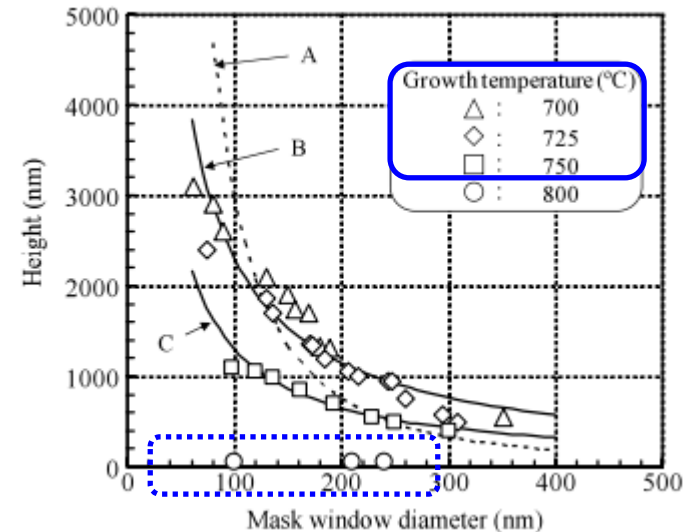


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J. Cryst. Growth 298, 616 (2007)

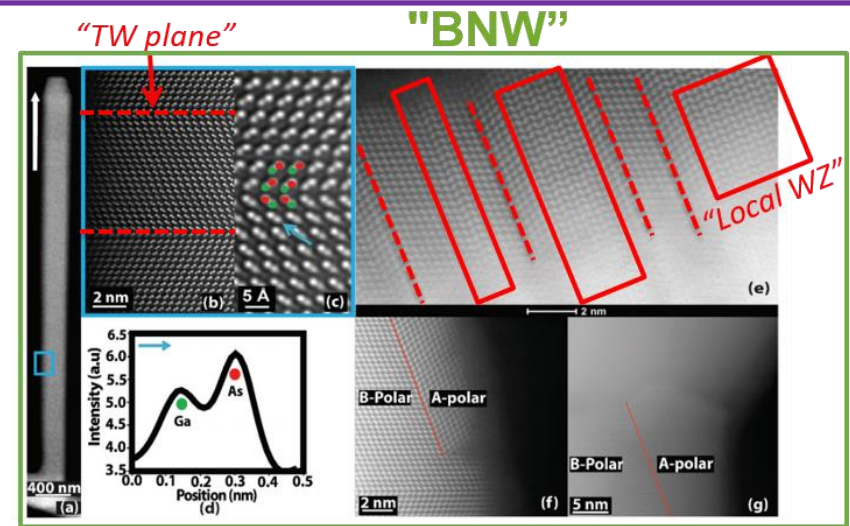
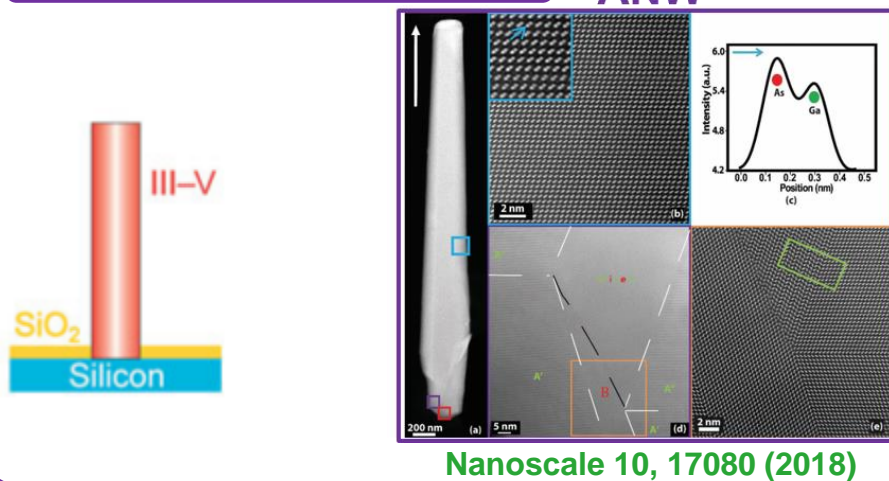


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 Nanotechnology 19, 265604 (2008)

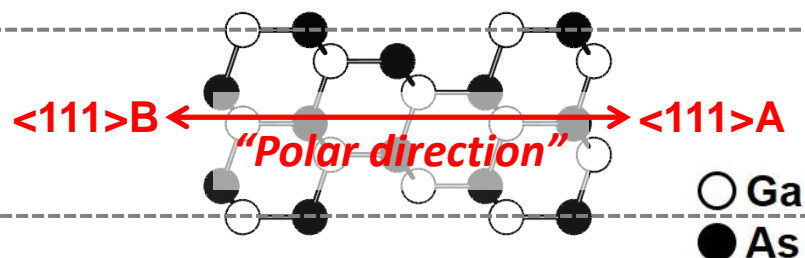
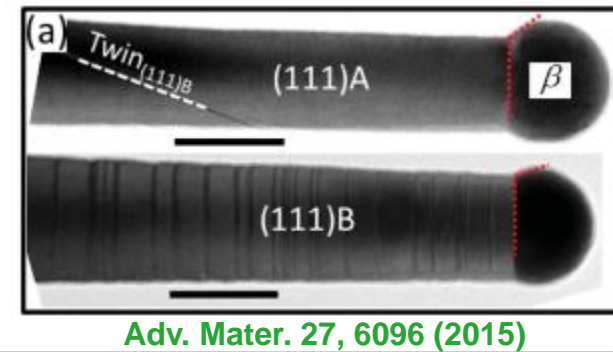
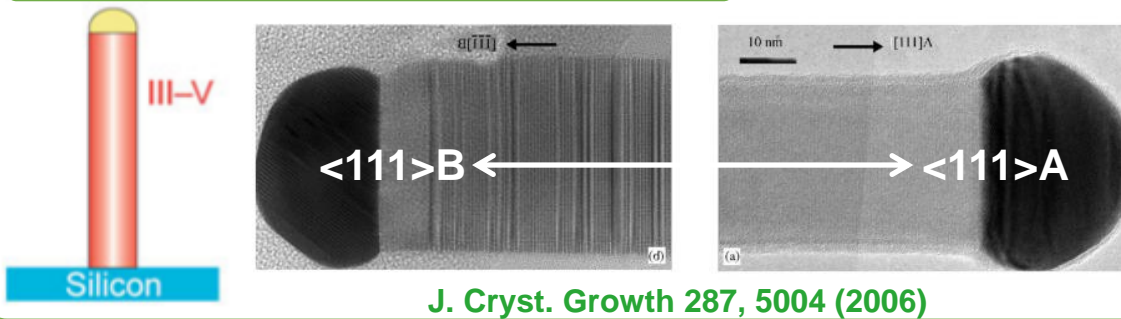
2. Asymmetric stacking of Nanowire

Asymmetric stacking: ANW vs. BNW

Vapor-Solid growth



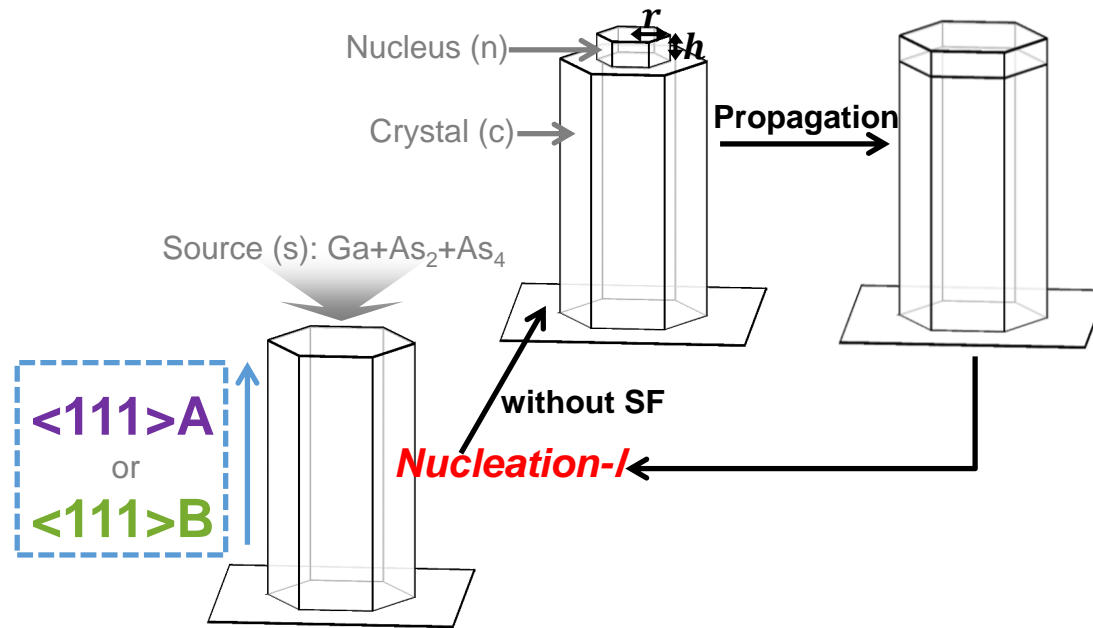
Vapor-Liquid-Solid growth

**“Polarity dependent stacking”**

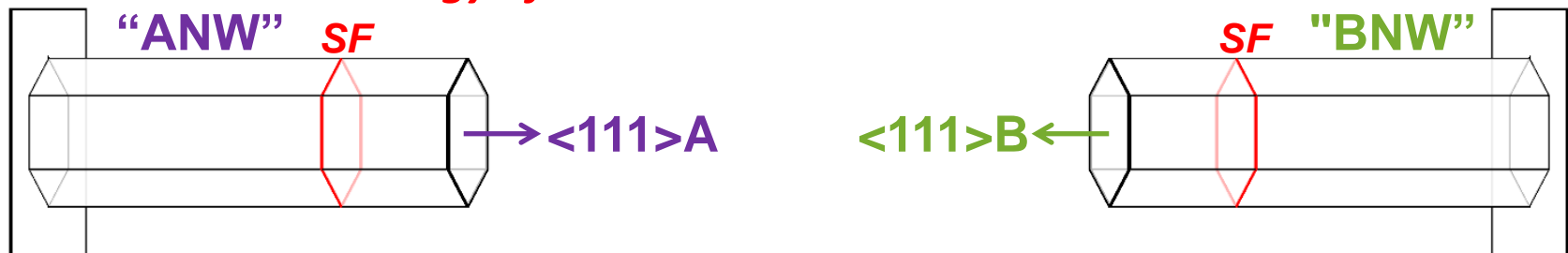
Between the two opposite directions of $\langle 111 \rangle$, density of planar defects is much higher in $\langle 111 \rangle B$ than $\langle 111 \rangle A$

2. Asymmetric stacking of Nanowire

Energetics of fully formed NW?

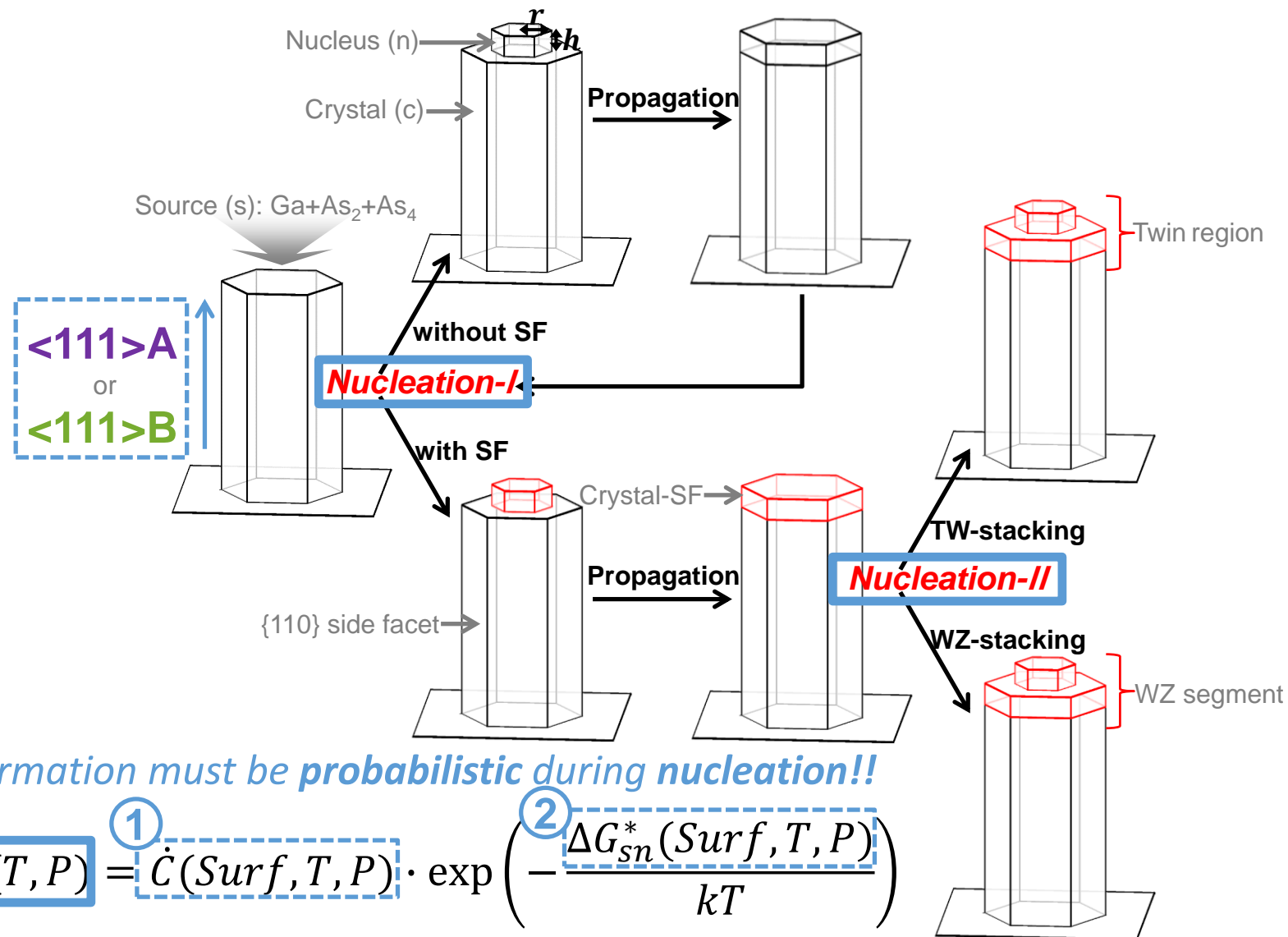


The total energy of SF-embedded ANW and BNW is the same!



2. Asymmetric stacking of Nanowire

Energetics of fully formed NW?



The SF formation must be **probabilistic** during nucleation!!

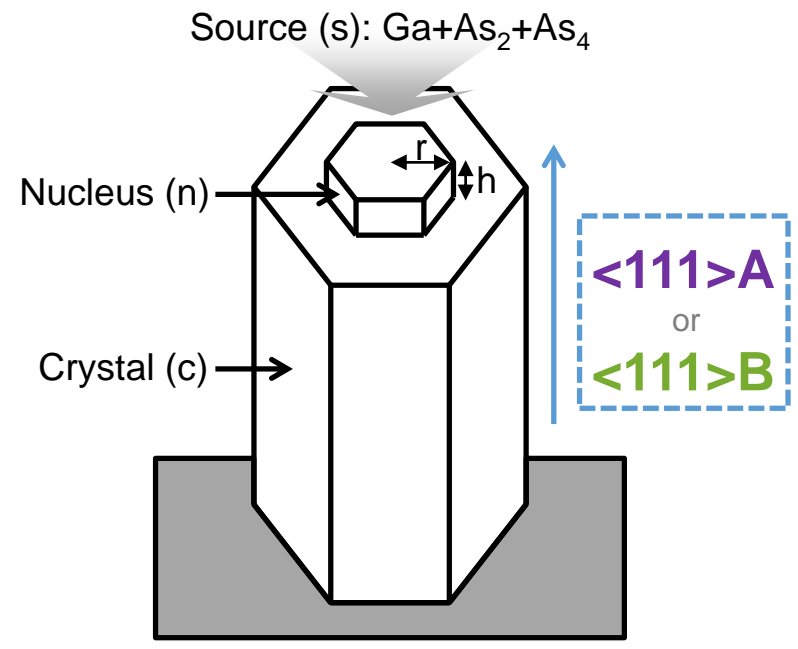
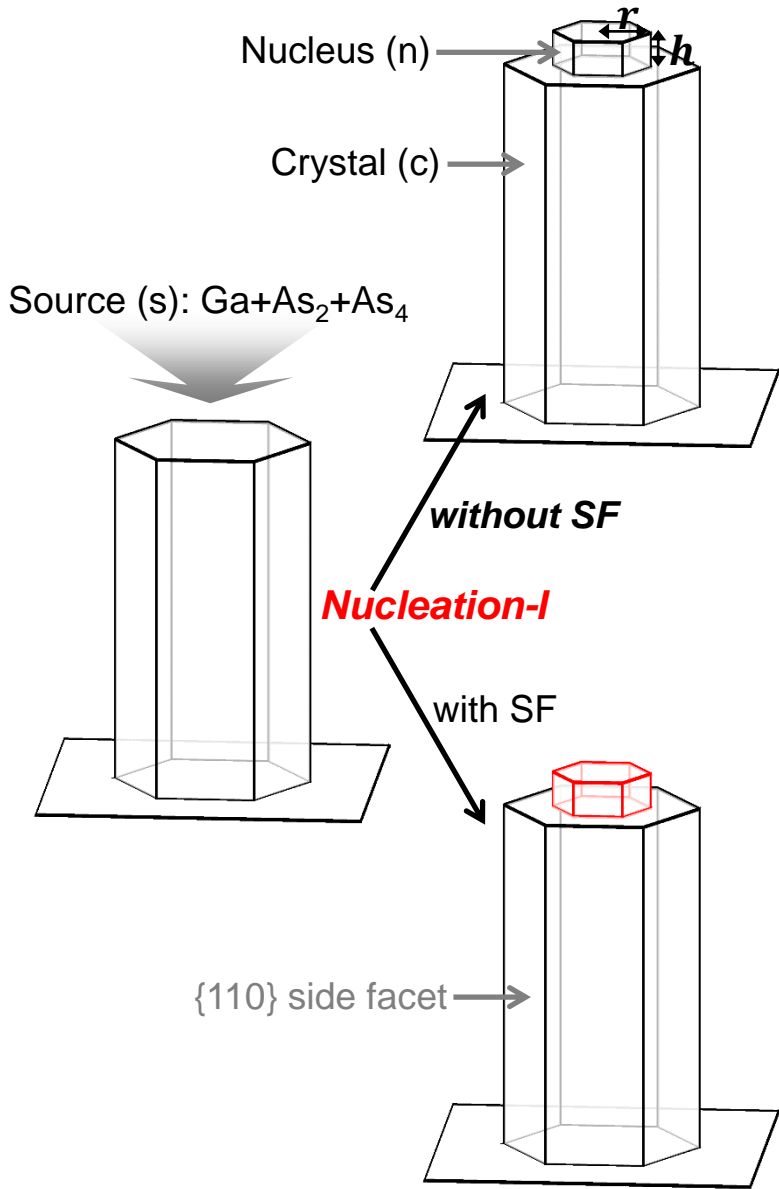
$$\dot{N}_{n|Surf}(T, P) = \dot{C}(Surf, T, P) \cdot \exp\left(-\frac{\Delta G_{sn}^*(Surf, T, P)}{kT}\right)$$

“Rate of source supply”

“Nucleation barrier”

2. Asymmetric stacking of Nanowire

Nucleation-I: with ZB or SF stacking



$$\Delta G_{sn} =$$

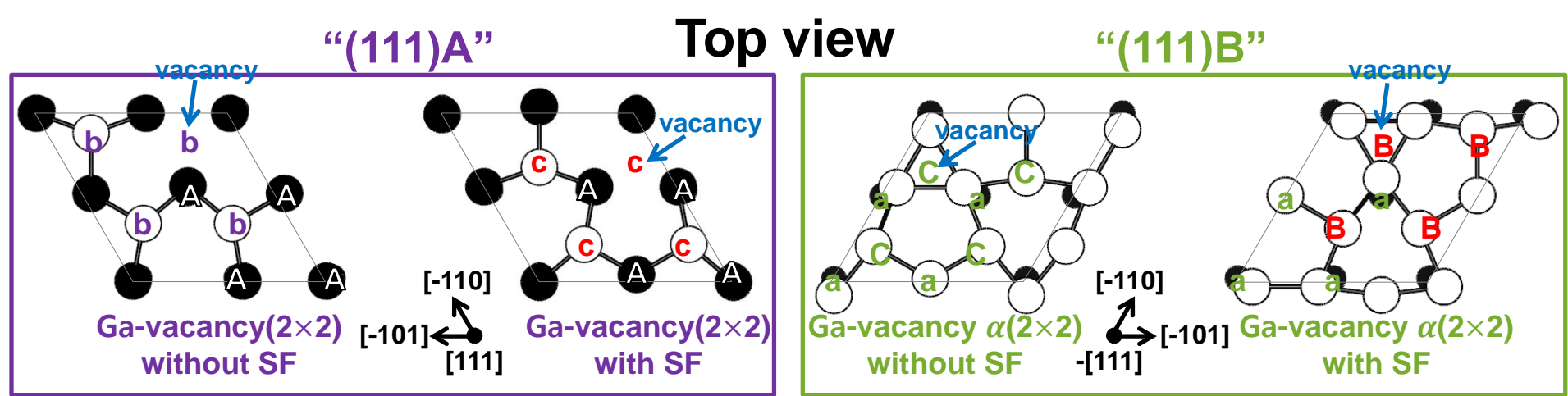
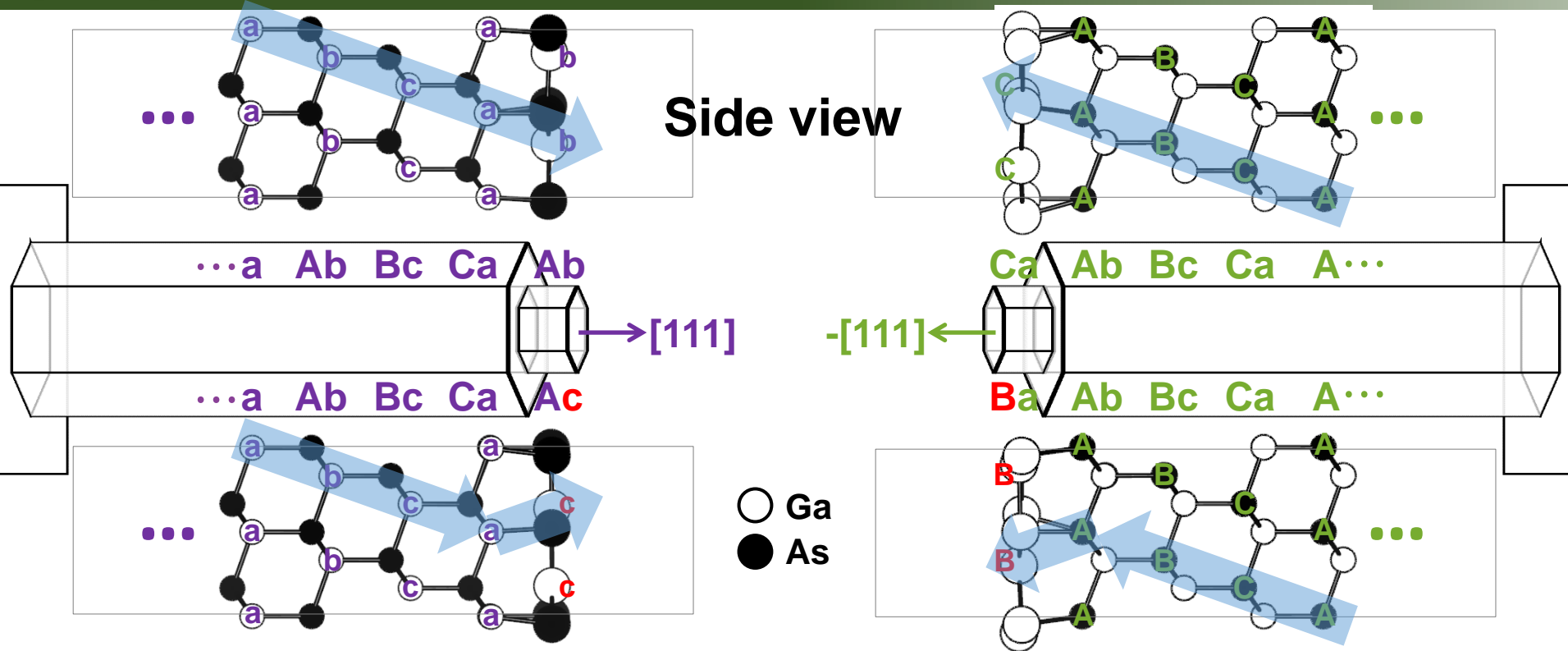
"Incorporation energy" $V\Delta\mu_{sn}$

"Side surface energy" $+A_{side}\gamma_{sn(110)}$

"Top surface energy" $+A_{top}(\gamma_{cn(111)} - \gamma_{sc(111)})$

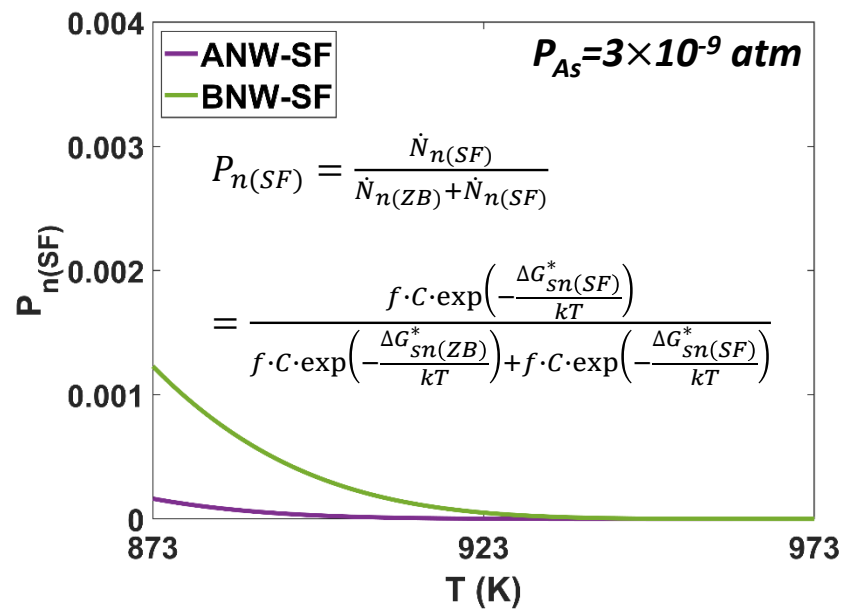
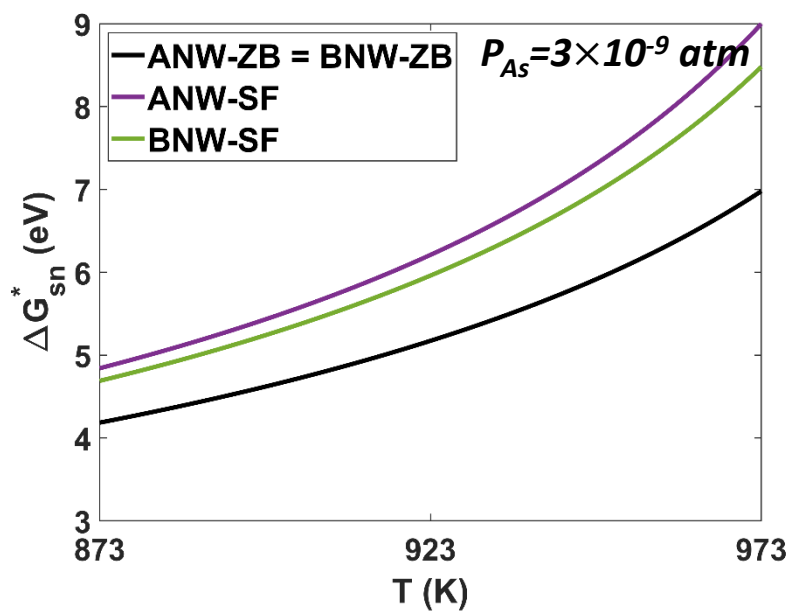
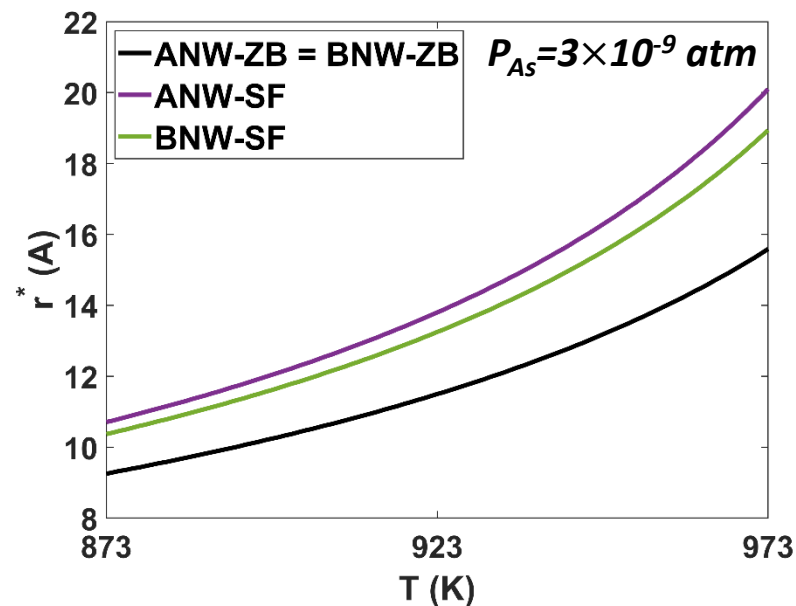
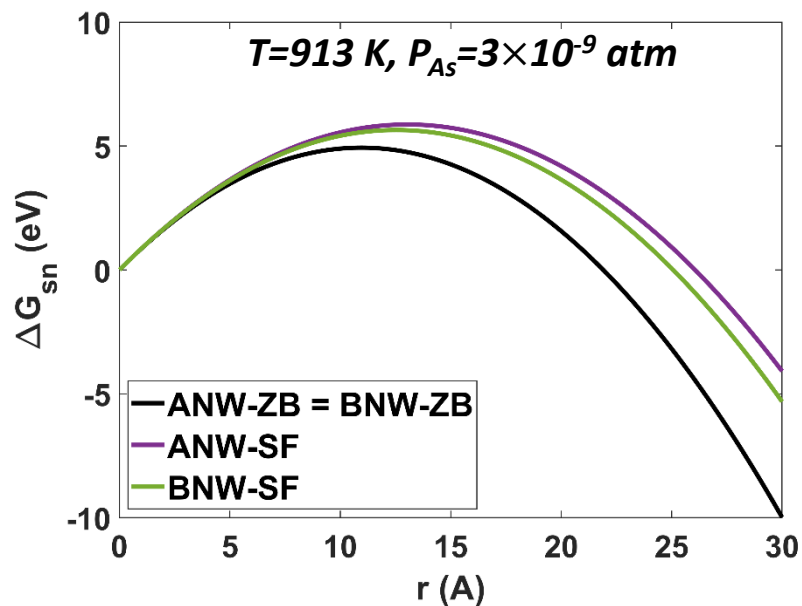
2. Asymmetric stacking of Nanowire

(111)A & B reconstruction with SF



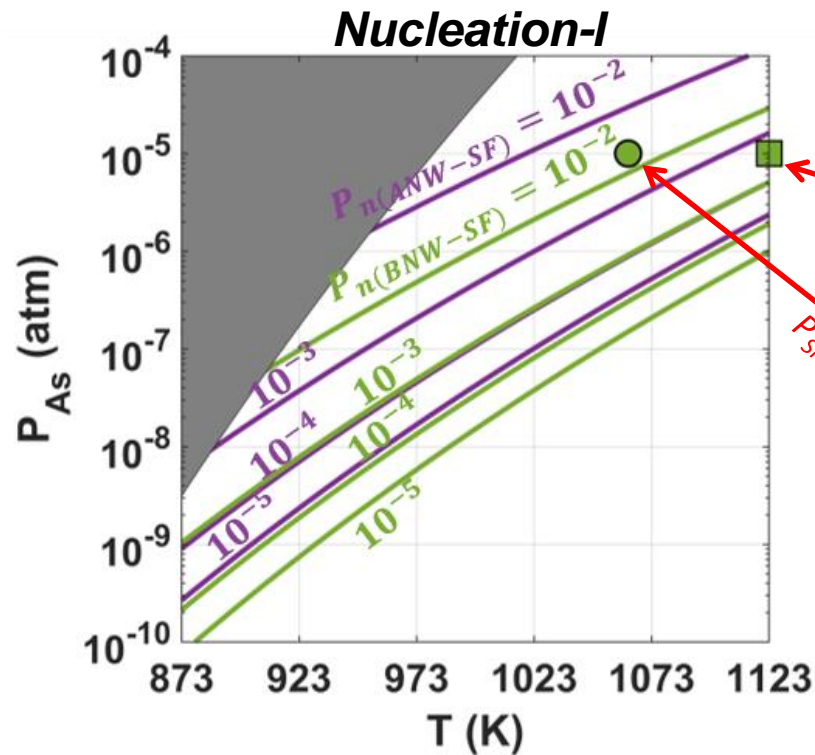
2. Asymmetric stacking of Nanowire

Nucleation-I: ZB vs. SF



2. Asymmetric stacking of Nanowire

Asymmetric stacking in nucleation-I



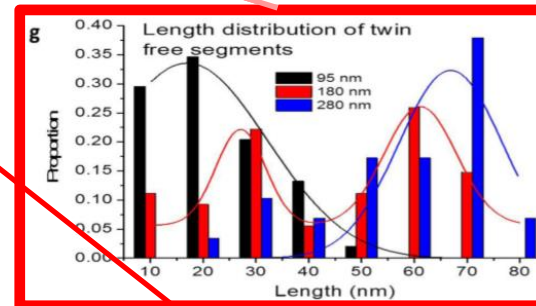
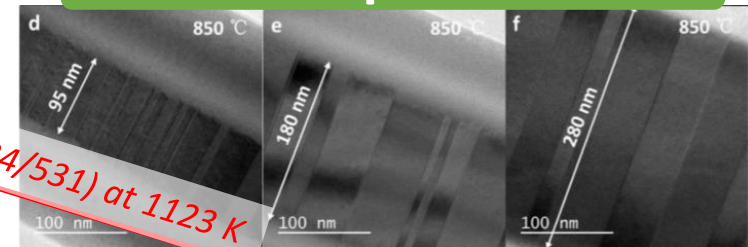
“SF density”

Polarity dependence: ANW < BNW

T dependence: high T < low T

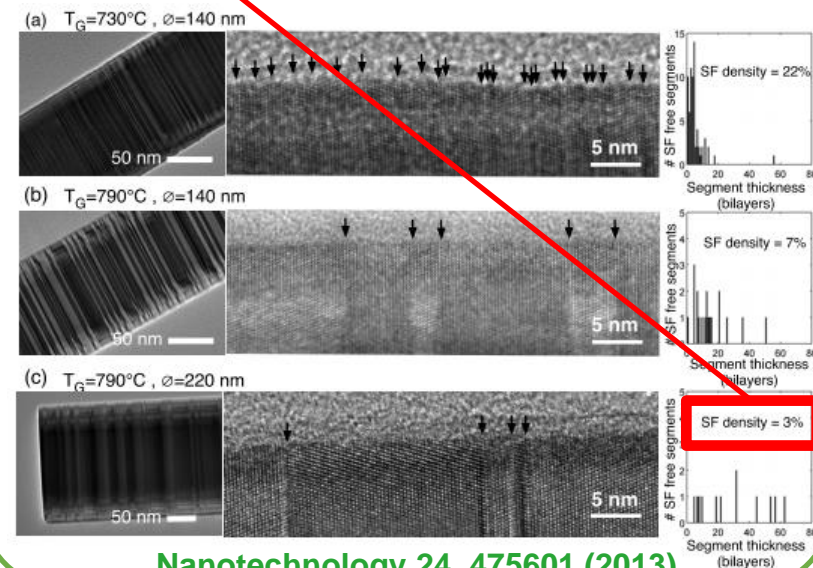
P dependence: low P < high P

BNW experiments



The average twin-free length = 531 Å

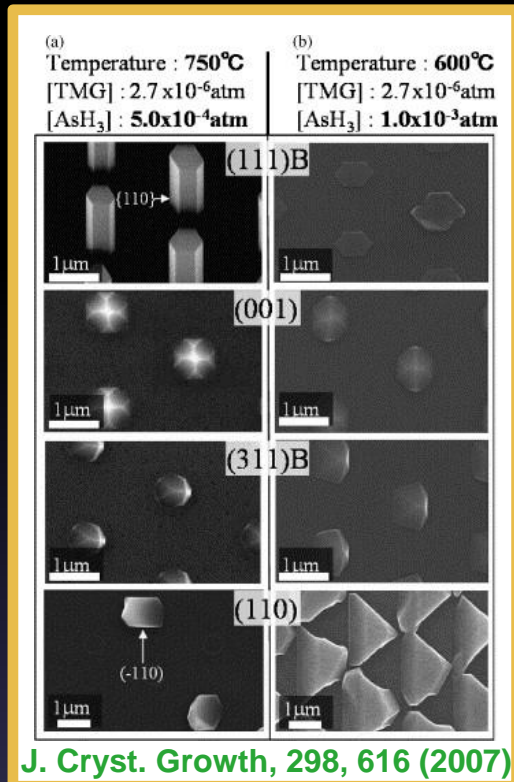
ACS Nano 10, 2424 (2016)



Nanotechnology 24, 475601 (2013)

Thank you

Anisotropic growth



$$\dot{N}_n = f \cdot C \cdot \exp\left(-\frac{\Delta G_{sn}^*}{kT}\right)$$

Asymmetric stacking

